

Octopus Titan VCT application form







How to complete this application form

Please complete this form in **block capitals** using **black ink**. Ensure you answer all the questions marked with an '*'. Leave blank any boxes that don't apply to you. Once completed, please send this form – along with your investment – to: **Octopus Investments Limited**, **PO Box 10847**, **Chelmsford CM99 2BU**. Alternatively you might find it easier to complete your application online. To do so visit **apply.octopusinvestments.com**. Before completing this application form, you should read the prospectus dated October 2020, which includes the Terms and Conditions of the Offer. The Offer will close exactly a year after the prospectus open date unless the fundraise reaches capacity at an earlier date. Please note that HM Revenue & Customs (HMRC) places restrictions on buying and selling shares in the same VCT within a six-month period. This means that if you have sold, or will sell, existing shares in Octopus Titan VCT within the six months before or after this investment, you will

not be able to claim any upfront tax relief.	
Section 1 – About the investor	
* Title (Mr/Mrs/Miss/Ms/Other)	
* First name	
* Last name	
* Are you an existing Octopus client? * Country of birth	
Yes No	
* Country(ies) of citizenship	
* Nationality	
* Date of birth DD MM YYYY	* National Insurance number
* Date of birth DD MM YYYY	* National Insurance number
* Date of birth DD MM YYYY * Telephone numbers Country code Telephone number	* National Insurance number
* Telephone numbers Country code Telephone number Primary:	* National Insurance number
* Telephone numbers Country code Telephone number Primary: Secondary:	* National Insurance number
* Telephone numbers Country code Telephone number Primary:	* National Insurance number
* Telephone numbers Country code Telephone number Primary: Secondary:	* National Insurance number
 * Telephone numbers Country code Telephone number Primary: Secondary: * Email address 	* National Insurance number
* Telephone numbers Country code Telephone number Primary: Secondary:	* National Insurance number
 * Telephone numbers Country code Telephone number Primary: Secondary: * Email address 	* National Insurance number
 * Telephone numbers Country code Telephone number Primary: Secondary: * Email address 	* National Insurance number

* Information from Octopus

Once your investment is up and running we'll keep you regularly updated on its progress (for example annual reports). But there's always something happening at Octopus which as an investor you may be interested in finding out about. Is it OK if we sometimes tell you more about Octopus Group* and what else we do? You can unsubscribe at any time. Yes No

The printing and postage costs of sending out the documents and information from the VCT (for example annual reports) are paid for by the VCT themselves. Therefore, to reduce waste and costs, we will send these to you electronically via the email address provided above. Should you not wish to receive electronic copies please contact Investor Support on **0800 316 2295**.

*To find out more about the businesses that make up the Octopus Group, take a look at www.octopusinvestments.com/privacy-policy.

Octopus-VCT-Titan-application-form-retail-CAM010118-2010

Section 2 – About the investment

* How much are you investing?

You can choose to invest in the current tax year (2020/21), the next tax year (2021/22), or both. Please indicate the amount(s) in the relevant box(es). The minimum investment is \pounds 3,000 and the maximum investment still qualifying for tax relief is \pounds 200,000 in any one tax year.

2020/21 £

2021/22 £

If your investment is for the 2021/22 tax year, we will hold your investment in cash until the shares are allotted.

Bank transfer

Please transfer your funds to the following account after first sending in your completed application form, making sure that you **reference the payment with your surname and initials**:

Account name:	Octopus Titan VCT - Applications		
Sort code:	40-03-28	Bank:	HSBC
Account number:	82603330	Branch:	Holborn

Payments need to come from your personal account. (We do not accept payments from business accounts or third parties, including your spouse.)

Cheque/banker's draft

Please enclose a cheque from your personal account, made payable to **'Octopus Titan VCT – Applications'**. We do not accept cheques from business accounts, third parties (including your spouse) or post-dated cheques. Banker's drafts and building society cheques must specifically mention the investor's name.

Section 3 – Dividend reinvestment or payment

* Dividend reinvestment or payment? (You must select one of these options)

Any dividends paid by the VCT can be reinvested as VCT shares, or received as cash payments into your bank account. Please select your preferred option. If you select the dividend payment option, please complete the bank details section as well.

Reinvest dividends

By completing this section, you confirm that you've read and understood the Terms and Conditions of the Dividend Reinvestment Scheme as set out in annex 1 of the prospectus.

Please note that reinvested dividends will count towards your £200,000 annual VCT allowance – the amount on which you can claim tax relief.

Pay out dividends

Please provide details of the bank account you would like future dividends to be paid into (the bank account must be in your name)

Sort code

Account number

Account holder name

If you are an existing Octopus Titan VCT shareholder, your instruction to reinvest or pay out dividends here overrides any previous dividend instructions you have given us relating to existing Octopus Titan VCT investments.

If you are a direct investor, please go straight to Section 5.3.

However, we always recommend you talk to a qualified financial adviser before making any investment decision.

Section 4 – Adviser/intermediary details (to be completed by your adviser	/intermediary)
Company	
Title (Mr/Mrs/Miss/Ms/Other) Other	
First name(s)	
Last name	
Telephone number	
* Email address	
* Address	
* Country	Postcode
* Company FCA number * Adviser FCA number	
* Are you part of a network/service provider?	
No Yes – please give us the network/service provider name	
* Network/service provider FCA number	

Section 5-Adviser/intermediary payment

* What type of investment is this? (You must complete one section only)

All Octopus fees and charges are outlined in both the prospectus and the brochure. The VCT can also facilitate payments to your financial adviser/ intermediary. Please complete either Section 5.1, 5.2, 5.3 or 5.4. Failure to complete this section correctly could result in delays to your investment. If you have any questions, please call us on **0800 316 2295**.

If fields are left blank in the completed section, a 0% charge or commission will apply. Commission and charges should not exceed our standard terms (given in the Octopus Titan VCT brochure and prospectus), otherwise this form may be rejected.

5.1	This is an advised investment with an initial adviser charge and/or an ongoing adviser charge				
			narges you have agreed with yo arge, the remaining amount w		
	To my adviser:				
Initial:	%	(maximum available charg	je of 2.5%)		
Ongoing:	%	(maximum available charg	je of 0.5%)		
	Please go straight to Section	7 – Tax residency status.			
5.2	This is an advised investme	nt with an initial adviser ch	arge and no ongoing advise	r charge	
			greed with your adviser. If you will be rebated to you as addi		ot paying the
	To my adviser:				
Initial:	%	(maximum available charg	je of 4.5%)		
	Please go straight to Section	7 - Tax residency status.			
5.3	This is a direct investment	vith no adviser or intermed	iary involved		
	Please complete Section 6 – 5	Source of wealth.			
5.4	This is a non-advised invest	ment through an intermed	iary and you have not receiv	ved financial advice	
Initial com	nmission:	% Ongoing commis	sion:	%	
lf your exe shares for	cution-only intermediary choo you.	ses not to take the full upfron	t commission, the difference w	<i>v</i> ill instead be used to purcha	se additional VCT
Please cor	mplete Section 6 – Source of w	ealth.			
Special in	structions				
Section	6 – Source of wealth				
Section					
Only to b	e completed if this is a direc	t or non-advised investmen	t through an intermediary,	i.e. you have ticked either	5.3 or 5.4.
What's th	he source of your wealth? - P	ease tell us how you acquired	the money you plan to invest,	select all that apply.	
	income Ownership in a c	ompany Inheritance	Gifts Sale of property	Investment proceeds	Other
lf other, p	please specify				
(In some c	cases, further information and	documentary evidence may b	be required.)		

Section 7 – Tax residency status

* 7.1	7.1 We are legally required to collect information about the tax residency and classifications of each investor. This information may be shared with HMRC, and may be transferred to the government of another territory in accordance with relevant agreements. For further information, please visit octopusinvestments.com/reportingforms .			
Are you a tax resident, or do you complete tax returns in any country other than the UK?				
	No – tick this box and please go straight to Section 8 – Investor declaration .			
If your country of birth or country of citizenship is outside of the UK, please send us a certified copy of a valid UK ID, such a driving licence or passport, or a certified letter from HMRC, to confirm your UK tax residency status.				
	Yes – tick this box and please complete the table below.			
7.2	Please list the country(ies), other than the UK, in which you are resident for tax purposes. Please provide the Tax Number (TIN) or functional equivalent for each country, or tick the relevant box if the country does not provide			
Country	,			
Тахрауе	er Identification Number (TIN)			
		TIN not available		
Country	,			
Тахрауе	Taxpayer Identification Number (TIN)			
		TIN not available		
Country	,			
Тахрауе	Taxpayer Identification Number (TIN)			
		TIN not available		

Section 8 – Investor declaration

By signing this form I HEREBY DECLARE THAT:

- 1. My decision to invest has been made on the basis of the information in the Octopus Titan VCT prospectus and Key Information Document (KID).
- 2. I have read and understood the Octopus policies regarding best execution, conflicts of interest and gift and benefits, all available at **octopusinvestments.com**.
- 3. I have provided accurate information, to the best of my knowledge.
- 4. I consent to Octopus providing information to my adviser until notified otherwise.
- 5. I consent to Octopus facilitating my adviser's fees and charges as set out in Section 5.

* Investor name

* Investor signature

* Date signed DD MM YYYY



Before sending us your application, please review the short checklist below to help us process your application as quickly as possible:

You have arranged for a payment as per Section 2.

You've signed and dated where indicated in Section 8.

Once completed, please send your application form to:

Octopus Investments Limited PO BOX 10847 Chelmsford CM99 2BU

What happens next?

- 1 We'll let you know when we've received your funds and application form, and whether we need any further information from you.
- 2 After that, we will allot your VCT shares at the next available date. These dates are usually listed on our website. This process can take several months, but we always aim for shares to be allotted in the tax year you have selected on the application form.
- 3 We'll write to let you know when your shares have been allotted and enclose your share and income tax certificates along with a guide that explains how to claim your income tax relief. Usually this will happen within 21 working days.
- 4 After that, as a shareholder, you'll receive annual reports containing the full financial statements for your VCT which include updates from the Chairman and Octopus, the fund manager. We'll also write out to you giving you the option to vote on resolutions and proposals (e.g. new fundraises) from the VCT's Board.

Got a question?

We don't offer financial or tax advice, which is why we always recommend talking to a qualified financial adviser before making any investment decisions. However, if you have any other questions, please call us on **0800 316 2295**. We're always happy to hear from you.





Send completed form to: Octopus Investments PO Box 10847 Chelmsford CM99 2BU

Issued by Octopus Investments Limited, which is authorised and regulated by the Financial Conduct Authority. Registered office: 33 Holborn, London, EC1N 2HT. Registered in England and Wales No. 03942880. We record telephone calls. Issued: October 2020. CAM010118-2010

Γ