



# Foresight

FOR A SMARTER FUTURE

**WILLIAMS** | ADVANCED  
ENGINEERING

Foresight Solar & Technology VCT PLC

**FORESIGHT  
WILLIAMS  
TECHNOLOGY  
SHARES**

Application Form

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30 DECEMBER 2020

## Private Investors

### Foresight Solar & Technology VCT PLC (the Company): FWT Shares

Foresight Williams Technology shares of 1p each in the Company ("FWT Shares")

#### Applications Checklist

**The below sections are mandatory. Any incomplete applications may cause delays to your investment.**

##### Section 1

- All fields marked with an asterisk must be completed
- You must insert your Bank account details for Dividend Payments

##### Section 2

- Your total subscription amount and how this is split across tax years (if applicable)
- Show whether your investment is advised, non-advised or direct

##### Section 3

- Only to be completed if you have agreed an upfront fee with an authorised financial adviser for any advice they have given

##### Section 4

- Signed and dated.

##### Sections 5-9

- To be completed by your authorised financial intermediary

#### Payment arranged and sent via cheque or Bank Transfer

This Application Form should be completed in full and sent by post or hand delivered to:

**"Foresight Williams Technology Shares Offer", Woodside Corporate Services Limited, 4th Floor, 50 Mark Lane London EC3R 7QR**

so as to arrive as soon as possible but in any case no later than 12.00 noon on 1 April 2021 in respect of Applications for the 2020/21 tax year and 5pm on 29 December 2021 in respect of Applications for the 2021/22 tax year.

Cheques should be enclosed with the Application Form made payable to WCSL FWT Shares Client Acc.

Before completing this Application Form you should read the Securities Note, the Registration Document and the Summary, each dated 30 December 2020 (together the "Prospectus"), in particular the risk factors on pages 4 to 5 of the Securities Note and 3 to 5 of the Registration Document, the details of the Offer on pages 50 to 53 of the Securities Note, the Terms and Conditions of Application on pages 69 to 72 of the Securities Note and the Application Procedures on pages 73 to 75 of the Securities Note. Definitions used in the Prospectus apply herein.

##### Cheques

Make payable to "WCSL FWT Shares Client Acc.". (Note: Cheques drawn on corporate accounts cannot be accepted)

##### Bank

Sort code: 80-20-00

Account no: 10375564

Bank: Bank of Scotland

##### Transfers

BIC/IBAN: GB39 BOFS 8020 0010 3755 64

##### **NB: Please use your initial and surname as the payment reference**

The Offer will open on 30 December 2020 and will be closed at 5pm on 29 December 2021 (or earlier if the Offer is fully subscribed or otherwise at the Board's discretion). Please note that the number of FWT Shares to be allotted to a successful Applicant will be determined by applying the Pricing formula set out on page 51 of the Securities Note. The applicable net asset value for the Pricing formula will be the latest net asset value published by the Company on the day of allotment, adjusted for dividends declared and for which the record date for payment has passed at the time of allotment.

**The Promoter (on behalf of the Company) will decide, in its absolute discretion, to accept or reject the Application and you will be notified of the decision.**

**If you do not receive an acknowledgement of your Application within ten days of sending it to Woodside Corporate Services Limited, please contact the Promoter on 020 3667 8181.**

[www.foresightgroup.eu/retail-investors/vct/foresight-solar-technology-vct-plc/](http://www.foresightgroup.eu/retail-investors/vct/foresight-solar-technology-vct-plc/)

Please note all fields marked with an asterisk are mandatory where applicable

## Section 1: PERSONAL DETAILS (Mandatory)

Title\*: \_\_\_\_\_ Date of Birth\*: \_\_\_\_\_  
Forenames\*: \_\_\_\_\_ Nationality\*: \_\_\_\_\_  
Surname\*: \_\_\_\_\_ National Insurance No\*: \_\_\_\_\_  
Address\*: \_\_\_\_\_ Email: \_\_\_\_\_  
\_\_\_\_\_ Tel No: \_\_\_\_\_

Postcode\*: \_\_\_\_\_  Please tick this box if you are an existing shareholder in any of the Foresight VCTs.

If 3 years or less then please provide previous address:

### Correspondence preference

Please indicate how you would like to receive correspondence from the Company. Please tick one box only

Please tick this box if you are resident for tax purposes in any jurisdiction other than the UK

by email (please ensure you have given your email address above)

by post

Please tick this box if you would like to receive statutory information from the Company even if your shares are to be held in a nominee account.

Where applicable, please provide confirmation of the non-UK jurisdictions in which you are resident for tax purposes, along with your corresponding tax payer identification number (TIN) or equivalent:

Country: \_\_\_\_\_ TIN/Equivalent: \_\_\_\_\_  
Country: \_\_\_\_\_ TIN/Equivalent: \_\_\_\_\_

**NOTE: Foresight Group may, if necessary, disclose information to HMRC and the IRS in order to satisfy its FATCA and/or CRS obligations.**

### Dividend Preferences

If you would prefer your dividends to be paid directly into your account, please indicate your account details here, otherwise you will be sent a cheque: (Please note your first dividend payment may be made by cheque even if you elect direct payment)

Account Name: \_\_\_\_\_ Bank/Building Society: \_\_\_\_\_  
Sort code:       Account Number:          
Postcode: \_\_\_\_\_

### Designated Contact

If you would like to add a Designated Contact to your account who can obtain information about your holding on your behalf (other than your financial adviser), please complete their details below.

Full Name: \_\_\_\_\_ Date Of Birth: \_\_\_\_\_  
Relationship: \_\_\_\_\_ Email: \_\_\_\_\_  
Residential Address: \_\_\_\_\_ Tel No: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
Postcode: \_\_\_\_\_

Designated Contact Signature:

Please note, completion of this section allows a Designated Contact to obtain information only about your shareholding. No changes can be made to the account nor monies withdrawn by the Designated Contact.

**Private Investors****Foresight Solar & Technology VCT PLC (the Company): FWT Shares****Foresight Williams Technology shares of 1p each in the Company ("FWT Shares")****Section 2: SUBSCRIPTION (Mandatory)**

I offer to subscribe for the following amount in FWT Shares in the Company on the terms and conditions of application as set out in the Prospectus and subject to the Memorandum and Articles of Association of the Company. Applications must be for a minimum of £3,000 and thereafter in multiples of £1,000.

Total:	Tax year 2020/21:	Tax year 2021/22:
£:	£:	£:

I enclose a cheque or banker's draft drawn on a UK clearing bank, made payable to 'WCSL FWT Shares Client Acc.'

**OR**  
I have made the above payment by electronic bank transfer which I have referenced using my surname and initials

**AND**  
Please tick this box to confirm that your subscription payment has been made from an account in your name\*.

**OR**  
Please tick this box to confirm that your subscription has been made from an account other than your own\*  
Please show your relationship to the holder of the account from which the payment was made.

\*(Please note Foresight may request additional information in this instance)

**\*What is the source of your wealth?**

Please tell us how you acquired the money that you plan to invest, select all that apply.

- Earned income       Investment proceeds       Gifts  
 Ownership in a company       Inheritance       Sale of property  
 Other

Please specify:

**Please tick one of the below** **Advised Investment**

This is an advised investment with or without an initial adviser charge  
(please fill out section 3, sign section 4 and ask your adviser to fill out sections 5, 6, 8 and 9)

 **Non-Advised Investment**

This is a non-advised investment through an intermediary and I have not received financial advice  
(please do not fill out section 3, simply sign section 4 and ask your intermediary to fill out sections 5 - 9)

 **Direct Investment**

This is a direct investment with no adviser or intermediary involved  
(please sign section 4 and leave all other sections blank)

APPLICATION FORM:

## Authorised intermediary Certificate

### Foresight Solar & Technology VCT PLC (the Company): FWT Shares

Foresight Williams Technology shares of 1p each in the Company ("FWT Shares")

#### Section 3: ADVISER CHARGES

##### Amount\* of the agreed initial up-front adviser fee

\*maximum 4.5% of the total subscription stated in Section 2

£: \_\_\_\_\_ or %: \_\_\_\_\_

**Please note: You should be entitled to claim income tax relief on your gross investment. The Company will not facilitate on-going Adviser Charges.**

#### Section 4: SIGNATURE (Mandatory)

Signature of Applicant\*:

Print Name\*:

Date\*:

##### By signing this application form I hereby irrevocably declare that:

- (i) I have read and understood the application procedure contained herein and agree to be bound by the Terms and Conditions of Application contained in pages 69-72 of the Securities Note;
- (ii) if I have completed Section 3, I am declaring and validating to the Company, the Promoter and the Receiving Agent the amount of the facilitation charge(s) specified therein and am agreeing to the making of a facilitation payment of that amount;
- (iii) if my authorised financial intermediary has classified me as an elective Professional Client for the purposes of this Application, I am aware of the risks involved in such classification and of the rights I am giving up and I wish to be treated as a Professional Client in respect of my Application; and
- (iv) to the best of my knowledge and belief, the particulars I have given are correct.

The Company and Foresight Group respect your privacy and are committed to protecting your personal information. If you would like to find out more about how the Company and Foresight use and look after your personal information, please refer to their privacy notice, which can be found at [www.foresightgroup.eu/privacy-cookies/](http://www.foresightgroup.eu/privacy-cookies/)

The Receiving Agent respects your privacy and is committed to protecting your personal information. If you would like to find out more about how the Receiving Agent uses and looks after your personal information, please refer to its privacy notices, which are available on request from the Receiving Agent.

APPLICATION FORM:

## Authorised intermediary Certificate

### Foresight Solar & Technology VCT PLC (the Company): FWT Shares

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#### Section 5: TO BE COMPLETED BY THE INVESTOR'S FINANCIAL INTERMEDIARY

(to be completed for every investor except for those ticking the "Direct Investment" box in section 2 above)

Firm Name*:	Email* (for communication):
Investment Adviser/Partner*:	
Main point of contact for communication purposes:	Adviser's Email* (if different):
Address*:	Tel No*:
	Firm FCA Registration No*:
	Partner/Adviser FCA Registration No*:
	Adviser/partner reference* (if applicable):
Postcode*:	

#### Section 6: INTERMEDIARY REMUNERATION (You must elect one of the two options)

In this section you must elect one of the two options. Please tick either Option 1 or Option 2 and ensure that this is consistent with section 3 of the Application Form\*.

**Option 1:** Tick this box if you have agreed up-front adviser charges which comply with COBS 6.1A

*If you have ticked Option 1 go directly to Section 9*

**Option 2:** Tick this box if you are entitled to receive commission (please read note below)

*If you have ticked option 2 please write in the reason here and complete sections 7 & 8 below*

**Note:** Post the Retail Distribution Review (RDR) and MiFID II, only certain advisers with investors who are categorised as "professional" under FCA Rules or certain execution-only intermediaries remain entitled to receive commission. Post the FCA Policy Statement 13/1, platforms may no longer receive commission whether they follow an advised or an execution only model.

APPLICATION FORM:

# Authorised intermediary Certificate

## Foresight Solar & Technology VCT PLC (the Company): FWT Shares

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### Section 7: COMMISSION WAIVER DETAILS

Only complete this section if commission selected in section 6.

Initial commission waived\* will be invested in Foresight Solar & Technology VCT plc - FWT Shares for your client. Please insert the amount of commission you wish to be waived in the box.

\_\_\_\_\_ % for your client

\*Maximum 3% of the total subscription stated in Section 2

### Section 8: INTERMEDIARY'S BANK DETAILS

Please provide details of your bank or building society account for adviser charges or commission (as applicable)

Account Name: \_\_\_\_\_

Bank/Building Society: \_\_\_\_\_

Sort code:

Account Number:

Email for commission statements: \_\_\_\_\_

## Authorised intermediary Certificate

### Foresight Solar & Technology VCT PLC (the Company): FWT Shares

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#### Section 9: AUTHORISED INTERMEDIARY CERTIFICATE

##### To be completed by the investor's financial intermediary.

We, the authorised intermediary identified in Section 5 above, confirm that we have applied customer due diligence measures on a risk-sensitive basis in respect of the applicant to the standard required by the Money Laundering Regulations within the guidance for the UK financial sector issued by the Joint Money Laundering Steering Group and that in the event that the Company, the Promoter and/or the Receiving Agent require additional information in order to accept the subscription, we will provide it to them within 2 business days of receiving their request or, if we don't have the information required, arrange for the information to be provided to them.

We, the authorised financial intermediary identified in Section 5 above, further confirm that, where we have provided advice to the applicant in connection with an investment in the Company, such investment is considered to be a suitable investment for the applicant in their current circumstances.

Signature\*:

Date\*:

##### By submitting this application form:

- i We confirm that our details included in this Application Form are true and accurate;
- ii We make the above confirmation regarding the customer due diligence and, where relevant the above confirmation regarding suitability of the investment;
- iii Where we have acted as a financial adviser to the applicant, we confirm our acceptance of the Foresight Group's Terms and Conditions for Financial Advisers (which can be accessed at [www.foresightgroup.eu/retail-investors/vct](http://www.foresightgroup.eu/retail-investors/vct)); and
- iv We undertake to forthwith notify the Company and/or the Promoter if any changes to our details provided above and/or if the applicant ceases to be our client in respect of his or her investment in the Company.

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Designed and produced by Fat Media.