

This form is to be used for Self-directed clients only, on Explicit Pricing.

CODE: CH.ISA2019

**The ISA allowance for all investors is £20,000 for the 2020/2021 tax year.**

Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London SW6 2JS

Aegon Intermediary Authorisation Code

7 7

## 1 Personal details (please complete this section in full)

Existing Aegon Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Telephone

Male  Female  Date of Birth   /   /

National Insurance Number    /    /    /

If you **don't** have a National Insurance Number, please tick here

Please read the following sentence and confirm by ticking the box if applicable. If you can't confirm and tick the box, please complete the Individual FATCA Self-Certification Form that can be issued to you by Chelsea.

I confirm that I am solely UK resident for tax purposes and not a US citizen

Email

Permanent residential address

Postcode

If at current address for less than two years, please supply previous address

Postcode

## 2 Funding your investment (tick all that apply)

**Cheque(s)** Amount £  for the **2020/2021 tax year**

**Cash Facility** Amount £

**Monthly Direct Debit** (please ensure you complete the Investment by Direct Debit instruction at the back)

### Cheque payment

Cheques must either be drawn on your own account or a joint account. The **cheque must be made payable to Aegon**. For a Building Society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or bank's official stamp and signature.

## 3 Nominated bank account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to.

Name of account holder

Bank/Building Society Account Number

Branch Sort Code    -    -

Building Society Roll Number

## 4 Investment selection

For further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document.

Fund name(s)	Type of unit/share (delete as appropriate) <sup>1</sup>	2020/2021 tax year	
		Lump sum (£10 minimum)	New monthly saving (£10 minimum) <sup>2</sup>
VT Chelsea Managed Cautious Growth	ACC/INC	£	£
VT Chelsea Managed Balanced Growth	ACC	£	£
VT Chelsea Managed Aggressive Growth	ACC	£	£
VT Chelsea Managed Monthly Income (please complete section 5)	ACC/INC	£	£
	ACC/INC	£	£
	ACC/INC	£	£
	ACC/INC	£	£
Cash <sup>3</sup>		£	£
<b>TOTAL AMOUNT</b>		£	£

<sup>1</sup> ACC/INC – if you don't specify ACC or INC in this column, and don't complete Section 5, Aegon will invest into accumulation units/shares where available.

<sup>2</sup> NEW MONTHLY SAVING – if you'd like to set up a new monthly saving, please ensure you attach a completed **Investment by Direct Debit** with this application.

<sup>3</sup> CASH – Please note: this will be included as part of your ISA allowance and not placed into your cash account.

## 5 Income (please tick one of the following options)

The option you choose will be applied to all income units/shares you hold within this product.

**Retain in fund (default)** – reinvest any income back into the fund

**Consolidated monthly income** – pay any income to my bank account on a monthly basis

**Cash Facility** – pay any income into my Cash Facility

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

## 6 Service charge (for intermediary use only)

Service charge model name: STANDARD SEGMENT

Annual service charge: 0.40%\* %

\*This is an annual charge taken on a monthly basis

## 7 Declaration and agreement

**I have been made aware of the terms of these declarations and agree to them.**

### General Declaration

I confirm that I have had the opportunity to read the Aegon Platform terms & conditions carefully, along with the key features document, my personal illustration, the pre-sale disclosure on costs and charges, key investor information document(s) and the declarations in this application, before completing this application process.

I confirm that I am habitually resident in the United Kingdom.

I accept that Aegon has not and will not assess my suitability for making a further payment to my Aegon ISA or my Aegon GIA, as applicable, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

### I declare that:

I apply to make a further payment to my Aegon ISA or my Aegon GIA as applicable.

I am 18 years of age or over.

I agree to the Aegon ISA or Aegon GIA terms and conditions, as applicable.

The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief and appointed intermediary, who are aware that it is a serious offence to knowingly provide false or misleading information on the application.

I confirm that I have not received face to face advice from Chelsea in connection with this application and I have received and had the opportunity to read the key features document, illustration, key investor information document(s) and terms and conditions that are relevant to this application.

Where regulations allow, I nominate Chelsea to receive correspondence in relation to my investments on my behalf. This instruction will remain in force unless Chelsea has informed Aegon that they wish for this correspondence to be sent to me directly, or I no longer have an appointed intermediary to whom Aegon can send these.

The further payment into my Aegon ISA or Aegon GIA, as applicable, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me or Chelsea.

### I declare that in respect of the Aegon ISA:

I am applying to subscribe for a stocks and shares ISA for the tax year 2020/2021, and each successive year until further notice.

All subscriptions made, and to be made, to the Aegon ISA belong to me.

Except where allowed by legislation, I have not subscribed/made payments, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.

Except where allowed by legislation, I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), am treated as being performed in the United Kingdom, or are married to, or in civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties or be married to, or in civil partnership with, a person who performs such duties.

### I declare that in respect of the Aegon GIA:

I have or will provide details through self-certification of all countries in which I am resident for tax purposes. If I do not provide these details, I am reportable to HM Revenue & Customs (HMRC) as undocumented.

Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share this information with tax authorities in the relevant countries and territories.

### I authorise Aegon to:

Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Make on my behalf any claims to relief from tax in respect of any of my ISA investments.

Arrange any transfer of an existing ISA or GIA held with a different provider to my Aegon ISA, or Aegon GIA (as applicable), as and when I request that Aegon do so.

Obtain details from my existing ISA Manager(s) or existing GIA provider(s) (as applicable) and authorise the giving of any such details to Aegon.

Accept investment and disinvestment instructions from Chelsea and to accept instructions from Chelsea with regard to all aspects of the running of the Aegon ISA or Aegon GIA, as applicable.

Make any payments specified by me to Chelsea on my behalf from the Aegon ISA or Aegon GIA, as applicable. I agree that these payments reflect the terms of the agreement I have entered into with Chelsea. The contract note will confirm the actual amount of the payment to be deducted and paid to Chelsea. If I disagree with the payment then I must advise Chelsea of this.

Signature 

Date

**This form will be returned if it's not signed and dated.**



## Instruction to your Bank or Building Society to pay Direct Debits



### Name and full postal address of your Bank or Building Society

To the Manager	Bank or Building Society
Address	
Postcode	

Name(s) of Account Holder(s)

Bank/Building Society Account Number

Branch Sort Code

 -  - 

**Banks and Building Societies may not accept Direct Debit instructions from some types of account.**

Service User No.

 1  6  9  7  7  1

Reference Number

### Instruction to your Bank or Building Society

Please pay Aegon Cofunds Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Aegon Investment Solutions Ltd and, if so, details will be passed on electronically to my Bank/Building Society.

Signature

Date

This Guarantee should be detached and retained by the payer.

### The Direct Debit Guarantee

- This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Aegon Cofunds will notify you five working days in advance of your account being debited or as otherwise agreed. If you request Aegon Cofunds to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Aegon Cofunds or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society
  - If you receive a refund you are not entitled to, you must pay it back when Aegon Cofunds asks you to
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.



Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.3965289, registered office: Level 43, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority. Their Financial Services Register numbers are 165548 and 194734 respectively. © 2018 Aegon UK plc.

APLT 378600 04/18