

This form is to be used for Self-directed clients only, on Explicit Pricing.
The Junior Investment ISA allowance for all investors is £4,128 for the 2017/2018 tax year & £4,260 for the 2018/2019 tax year.

Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London SW6 2JS

Cofunds Intermediary Authorisation Code

7 7

1 Child details (please complete this section in full)

Existing Cofunds Client Reference

Mr/Mrs/Miss/Other

Surname

Full first name(s)

Male Female Date of Birth / / ---

Permanent residential address

Postcode

National Insurance Number ----

If the child **doesn't** have a National Insurance Number, please tick here

2 Applicant details – Registered Contact* (please complete this section in full)

Existing Cofunds Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Telephone

Email

Permanent residential address

Postcode

*The Registered Contact is a person with parental responsibility, or the child aged 16-18, if they so wish.

Date of Birth --/ -/ ---

3 Third party details (please complete this section if you're not the child or Registered Contact but are funding the Junior Investment ISA)

Existing Cofunds Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Telephone

Date of Birth --/ -/ ---

Permanent residential address

Postcode

Relationship to applicant

4 Funding your investment (tick all that apply)

If you're investing in both tax years, please include **two separate** cheques.

Cheque(s) Amount £ for the **2017/2018** tax year

Amount £ for the **2018/2019** tax year

Monthly Direct Debit (please ensure you complete the Investment by Direct Debit instruction at the back)

The subscribed is a gift to the child and can't be repaid to the subscriber if at a later date they change their mind.

Cheque payment

Cheques must either be drawn on your own account or a joint account. The cheque must be made payable to **Cofunds Limited**. For a Building Society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or bank's official stamp and signature.

5 Investment selection

For further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document.

| Fund name(s) | Type of unit/share (delete as appropriate) ¹ | 2017/2018 tax year | | 2018/2019 tax year | |
|--------------------------------------|------------------------------------------------------------|---------------------------|--------------------------------------------------|---------------------------|--------------------------------------------------|
| | | Lump sum (£50 minimum) | New monthly saving (£50 minimum) ² | Lump sum (£50 minimum) | New monthly saving (£50 minimum) ² |
| VT Chelsea Managed Cautious Growth | ACC/INC | £ | £ | £ | £ |
| VT Chelsea Managed Balanced Growth | ACC | £ | £ | £ | £ |
| VT Chelsea Managed Aggressive Growth | ACC | £ | £ | £ | £ |
| VT Chelsea Managed Monthly Income | ACC/INC | £ | £ | £ | £ |
| | ACC/INC | £ | £ | £ | £ |
| | ACC/INC | £ | £ | £ | £ |
| Cash Reserve | | £ | £ | £ | £ |
| TOTAL AMOUNT | | £ | £ | £ | £ |

¹ ACC/INC – if you don't specify ACC or INC in this column, Cofunds will invest into accumulation units/shares where available.

² NEW MONTHLY SAVING – if you'd like to set up a new monthly saving, please ensure you attach a completed **Investment by Direct Debit** with this application.

³ CASH RESERVE – Please note: this will be included as part of your ISA allowance and not placed into your cash account.

6 Income



Retain in fund

Income generated from this investment will be retained in the fund. We don't pay income from a Junior Investment ISA.

7 Declaration and authorisation

I declare that:

The child named above will be the beneficial owner of the account investments.

I am aged 16 years or over.

I am the child/I have parental responsibility for that child. I/the child does not have a Child Trust Fund account.

I will be the Registered Contact for the Junior Investment ISA.

The child named above will be the beneficial owner of the accounts investments. The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant.

I have not subscribed and will not subscribe to another stocks and shares Junior ISA for this child.

I am not aware that this child has another stocks and shares Junior ISA.

I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit.

I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded.

The information contained in this application form is correct to the best of my knowledge and belief.

I authorise Cofunds Limited to:

Hold the child's subscriptions, Junior Investment ISA, interest, dividends and any other rights or proceeds in respect of those investments and cash.

Make on the child's behalf any claims to relief from the tax in respect of the Junior Investment ISA.

I confirm that:

I have viewed the Terms and Conditions of the Cofunds Junior Investment ISA and by signing this application form I agree to be bound by them.

I have viewed the relevant product Key Features and Fund specific information and/or Key Investor Information Documents (KIID) relating to my investment.

A copy of the KIID can be found via the following link:
https://www.cofunds.co.uk/Investors/Reports_and_Accounts_Investors.aspx

I understand that the Terms and Conditions of the Cofunds Junior Investment ISA are the standard terms upon which Cofunds intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.

I understand that my signed application form (once accepted by Cofunds) together with the Terms and Conditions of the Cofunds Junior Investment ISA form my customer agreement with Cofunds Limited.

I understand and accept that I am entitled to cancel my application in accordance with the Key Features of the Cofunds Junior Investment ISA and that if I choose to cancel my application, my investment shall be subject to market movement during the period from the date when my application is processed to the date my investment is sold.

I understand that the commencement of my Junior Investment ISA may be delayed or rejected if this application form is not complete in all respects.

Data Protection

Cofunds Limited is a registered Data Controller and a fully owned subsidiary of Aegon UK plc. Cofunds Limited will use your information for the administration and servicing of your investments and all other related activities. We may disclose your information to other companies within the Aegon group of companies, future owners of our business, and suppliers we engage to process data on our behalf for these purposes. We may also disclose your information to organisations for compliance with legal and regulatory requirements.

With the exception of the above provisions, we will not pass on your details to any other third party without your permission, but we will disclose information concerning your investment to your nominated intermediary.

Cofunds may transfer your information to countries outside of the EEA for the servicing of your investments. In such cases, contracts will be put in place to ensure that the service providers protect your information in accordance with the requirements of the Data Protection Act.

If you require a Fund prospectus, please contact your intermediary or Fund Manager directly. If you wish to attend/vote at unit holder or shareholder meetings, please tick this box:

If you wish to receive reports and accounts, you can download them free of charge at: https://www.cofunds.co.uk/Investors/Reports_and_Accounts_Investors.aspx

If you wish to receive paper copies of reports and accounts please speak to your intermediary. You can also request to receive paper copies of reports and accounts by writing to us at Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY.

| | |
|---------------------------------------------------------------------------------------------------------------------------------|------|
| Applicant signature (Registered Contact)  | Date |
|---------------------------------------------------------------------------------------------------------------------------------|------|

This form will be returned if it's not signed and dated.



Instruction to your Bank or Building Society to pay Direct Debits



Name and full postal address of your Bank or Building Society

| | |
|----------------|--------------------------|
| To the Manager | Bank or Building Society |
| Address | |
| Postcode | |

Name(s) of Account Holder(s)

Bank/Building Society Account Number

Branch Sort Code

 - -

Banks and Building Societies may not accept Direct Debit instructions from some types of account.

Service User No.

Reference Number

For Cofunds LTD official use only

This is not part of the instruction to your bank or building society.

Instruction to your Bank or Building Society

Please pay Cofunds Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Cofunds Limited and, if so, details will be passed on electronically to my Bank/Building Society.

Signature

Date

This Guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

- This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Cofunds Ltd will notify you five working days in advance of your account being debited or as otherwise agreed. If you request Cofunds Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Cofunds Ltd or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society
 - If you receive a refund you are not entitled to, you must pay it back when Cofunds Ltd asks you to
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.

