

STOCKS AND SHARES ISA APPLICATION FORM



The Stocks & Shares ISA allowance is £20,000 for the 2023/2024 tax year and £20,000 for the 2024/2025 tax year.

Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London, SW6 2JS.

1 PERSONAL DETAILS

Aegon account number (if applicable):

Title: Mr Mrs Ms Miss Other:

Surname:

First name:

Date of birth: / /

Male Female

National Insurance No:

Address:

Postcode:

Email address:

Telephone No:

I can confirm that I am a solely UK resident for tax purposes and not a US citizen

2 FUNDING YOUR INVESTMENT (TICK ALL THAT APPLY)

If you're investing in both tax years, please include **two separate cheques**.

Cheque(s) Amount £ for the **2023/ 2024 tax year** £ for the **2024/ 2025 tax year**

Monthly Direct Debit (please ensure that you also complete a Direct Debit instruction)

Cheque payment
Cheques must be made payable to **Aegon Ltd.**

3 NOMINATED BANK ACCOUNT

To avoid any delays, please send a recent bank statement with the application form, to verify the details below. If you send an original we will arrange for this to be returned to you.

Name of account holder:

Account No: Sort code: - -

Building society roll No:

4 INVESTMENT SELECTION

Please ensure that you have read the Key Investor Documents terms and conditions and pre-sale illustration for the fund(s) that you choose to invest into. The minimum investment amount is £1 per fund.

Fund name(s)	Acc/Inc*	2023/ 2024 tax year		2024/ 2025 tax year	
		Lump sum	New monthly saving	Lump sum	New monthly saving
VT Chelsea Managed Cautious Growth	Acc / Inc	£	£	£	£
VT Chelsea Managed Balanced Growth	Acc	£	£	£	£
VT Chelsea Managed Aggressive Growth	Acc	£	£	£	£
VT Chelsea Managed Monthly Income	Acc / Inc	£	£	£	£
	Acc / Inc	£	£	£	£
	Acc / Inc	£	£	£	£
	Acc / Inc	£	£	£	£
Cash ^		£	£	£	£
TOTAL AMOUNT		£	£	£	£

*Acc/Inc - if you do not specify Acc or Inc, and do not complete section 5, we will apply accumulation units, where available.
^Cash - please note that this will be included as part of your ISA allowance.

5 INCOME

Please complete this section, if you have selected income units in section 4. Please note that income will be set to reinvest, if this section is not completed. The option you choose will be applied to all income units/ shares you hold within this product.

- Retain in fund (default)** - reinvest any income back into the fund
- Consolidated monthly income** - pay any income to my bank account on a monthly basis - see section 3
- Cash Facility** - pay any income into my ISA Cash Facility

6 SERVICE CHARGE to be completed by intermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge*: 0.40%

*This is an annual charge, taken on a monthly basis.

7 DECLARATION

I acknowledge that Aegon will rely on the information contained in the following documents as they form the basis of the contract(s) being applied for:

- This application form and any additional application forms
- The declarations given in this section and any other declarations made when applying for an Aegon ISA, and where relevant, an Aegon GIA
- The first contract note for the Aegon ISA and, where relevant, the first contract note for the Aegon GIA
- The Aegon Platform terms and conditions

I confirm that I have had the opportunity to read these documents carefully (other than the first contract note(s) which will be given to me in accordance with the Aegon Platform terms and conditions), along with the key features document(s), my personal illustration for the Aegon ISA, key investor information document(s) and the declarations in this application, before completing this application process.

I confirm that I have had the opportunity to read the Aegon UK Retail Order Execution Policy and I agree to its terms.

I confirm that I am habitually resident in the United Kingdom.

I accept that Aegon has not and will not assess my suitability for the Aegon ISA, and where relevant an Aegon GIA, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

I declare that:

- I apply to subscribe for a stocks and shares ISA for the tax year 2023/2024 and/or 2024/2025 and each successive year until further notice.
- I apply for an Aegon ISA, and where relevant an Aegon GIA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions.
- All subscriptions made, and to be made, to the Aegon ISA belong to me.
- I am 18 years of age or over.
- I confirm that I have not received any advice from Chelsea Financial Services and I understand that any investment or transaction I may choose to make is on an execution-only basis and that I am responsible for all investment decisions.
- Except where allowed by legislation, I have not subscribed/made payments, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.
- Except where allowed by legislation, I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- Where I am applying for an Aegon GIA, through self-certification I will provide details of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.
- I agree to the Aegon ISA and, where relevant, the Aegon GIA terms and conditions.
- I agree that any direct debit instructions in the application will continue into subsequent tax years until I tell Aegon to stop taking payments.
- The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief, I am aware that it is a serious offence to knowingly provide false or misleading information on the application.
- I confirm that, if I have not received advice from an appointed adviser in connection with this application, I have received and had the opportunity to read the key features document, illustration, key investor information document(s) and the Aegon Platform terms and conditions that are relevant to this application.

- Where regulations allow, I nominate Chelsea Financial Services to receive correspondence in relation to my investments. This instruction will remain in force unless my appointed adviser has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed intermediary to whom Aegon can send these.
- Any payment into the Aegon ISA, or where relevant, the Aegon GIA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me or Chelsea Financial Services.
- Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share that information with tax authorities in the relevant countries and territories.
- Where I have requested Aegon to pay regular withdrawals from my Aegon ISA, if the sum total of the amount in the product's cash facility and the amount realised by this instruction does not meet the amount of the regular withdrawal request, I am aware that Aegon will sell the largest value investment to cover any shortfall.

I authorise Aegon to:

- Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- Make on my behalf any claims to relief from tax in respect of any of my ISA investments.
- Arrange any transfer of an existing ISA or GIA I hold with a different provider to my Aegon ISA, or Aegon GIA (as appropriate), as and when I request that Aegon do so.
- Obtain details from my existing ISA Manager(s) or existing GIA provider(s) (as appropriate) and authorise the giving of any such details to Aegon.
- Where regulations allow, accept investment and disinvestment instructions from my appointed adviser and to accept instructions from my appointed adviser with regard to all aspects of the running of the Aegon ISA, and where relevant, the Aegon GIA.
- Make any payments specified by me to Chelsea Financial Services on my behalf from the Aegon ISA, (including any adviser or service charges set out in section 6) and where relevant, the Aegon GIA. I agree that these payments reflect the terms of the agreement I have entered into with Chelsea Financial Services. The contract note will confirm the actual amount of the payment to be deducted and paid to Chelsea Financial Services. I agree that once any charge has been deducted from my Aegon ISA, or Aegon GIA where relevant, Aegon cannot return it to me and I will have to discuss any refund of the adviser charge direct with Chelsea Financial Services.

I confirm that I have received an illustration showing the impact of the charges.

Data Protection

- I understand that Chelsea Financial Services will use the personal data I have provided in this application, including my name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and Chelsea's Privacy Policy as detailed at <https://www.chelseafs.co.uk/notice/privacy/>
- I understand that my personal information will be used to provide products and services, manage my relationship with Chelsea and comply with any laws or regulations they are subject to (for example the laws that prevent financial crime or the regulatory requirements governing the products we offer) and for other purposes including improving Chelsea's services, exercising rights in relation to agreements and contracts and identifying products and services that may be of interest to me.

Signature:

Sign here ▶

Date:

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Instruction to your bank or building society to pay by Direct Debit

You should complete this form in BLOCK CAPITALS and ballpoint pen.

Name(s) of account holder(s)

Bank/Building society account number

Branch sort code

 - -

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
<input type="text"/>	

Address

Postcode

Service user number

1	6	9	7	7	1
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Reference

Product number

8							
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You should sign and date this form by typing your full name in the signature box below and typing the date in the date box. Your typed name in the signature box will be your signature. When you sign the form, by typing your name in this box, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Instruction to your bank or building society

Please pay Aegon Cofunds Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand this instruction may remain with Aegon Cofunds and, if so, details will be passed electronically to my bank/building society.

Signature(s)

X	X
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Date

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Banks and building societies may not accept Direct Debit instructions for some types of account.

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Aegon Cofunds will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Aegon Cofunds to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Aegon Cofunds or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Aegon Cofunds asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.3965289, registered office: Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority. Their Financial Services Register numbers are 165548 and 194734 respectively. © 2021 Aegon UK plc