

Cofunds Authorisation Code **0 4 1 5 S D E**

This form is to be used for Self-directed Explicit Pricing clients only. On this form, Cofunds means Aegon.

This application form is used to open a stocks and shares Junior Investment ISA with Cofunds and or make subscriptions (until the child is 18). The **Junior Investment ISA allowance for all investors is £9,000 for the 2022/2023 tax year.**

Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London SW6 2JS.

Cofunds Authorisation Code **7 7**

## 1 Personal details (please complete this section in full)

Existing Cofunds Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Telephone

Current permanent residential address

Postcode

Please read the following sentence and confirm by ticking the box if applicable.

I confirm that I am solely UK resident for tax purposes and not a US citizen.

If you can't confirm and tick the box, please complete the Individual FATCA Self-Certification form that can be issued to you by Chelsea.

## 2 Child details (please complete this section in full)

Existing Cofunds Client Reference

I apply to open a Junior Investment ISA for:

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Male  Female  Date of Birth   /   /

Current permanent residential address

Postcode

National Insurance Number (if held)   /   /   /

If the child does not have a National Insurance Number, please tick here.

## 3 Applicant details – registered contact\* (please complete this section in full)

Existing Cofunds Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Current permanent residential address

Postcode

\*The registered contact is a person with parental responsibility, or the child aged 16-18, if they so wish.

## 4 Segmentation (For intermediary use only)

Please enter the name of the segment in full using BLOCK CAPITALS:  STANDARD SEGMENT (Only apply if client is not already segmented)

## 5 Income (please tick one of the following options)

Complete this section if you have requested income units/shares ('INC').

Retain in fund (default)

Income generated from this investment will be retained in the fund. We don't pay income from a Junior ISA.

## 6 Withdrawal Authorisation (The registered holders MUST complete this section)

I/We authorise Cofunds to withdraw on my/our behalf and reinvest into a current year Junior ISA as indicated below. If you are a single holder (primary only) and there are no joint holders for this investment, then you do not need to complete this section. Signing the declaration in Section 10 will constitute your authorisation to withdraw.

If applicable please state designation

|   |
|---|
| Surname   |
| Full first name(s)  |
| Primary named holder signature <input checked="" type="checkbox"/> Date |

|  |
|--|
| Surname  |
| Full first name(s)   |
| Second named holder signature <input checked="" type="checkbox"/> Date |

## 7 Withdrawal details (Investment Funds)

Please indicate the choice of funds you wish to sell. Please note this form should not be used to fulfil any additional withdrawal instructions. If you do not indicate how your investment(s) should be withdrawn, we will automatically withdraw proportionately across your Investment Funds. This will maintain your current fund percentage.

Please enter the cash amount to be withdrawn from your investment fund holding: £

| Fund(s) to be sold (Fund name) | Continue regular mandate (Y/N) | % to be sold of cash amount above | Cash amount            |
|--------------------------------|--------------------------------|-----------------------------------|------------------------|
| <input type="text"/>           | <input type="text"/>           | <input type="text"/>              | £ <input type="text"/> |
| <input type="text"/>           | <input type="text"/>           | <input type="text"/>              | £ <input type="text"/> |
| <input type="text"/>           | <input type="text"/>           | <input type="text"/>              | £ <input type="text"/> |
| <input type="text"/>           | <input type="text"/>           | <input type="text"/>              | £ <input type="text"/> |
| <input type="text"/>           | <input type="text"/>           | <input type="text"/>              | £ <input type="text"/> |
| <input type="text"/>           | <input type="text"/>           | <input type="text"/>              | £ <input type="text"/> |
| <input type="text"/>           | <input type="text"/>           | <input type="text"/>              | £ <input type="text"/> |

**Please note: we cannot guarantee the exact amount that your deal will realise, which may result in over/under payment in respect of the withdrawal amount you require. Please refer to Section 9 for more details.**

## 8 Investment selection

I wish to invest in the funds indicated (for further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document). Please note you must not exceed your combined stocks and shares and/or cash JISA allowance of **TBC** in the 2020/2021 tax year.

Please tick this box if you would like to invest proportionally into the Investment ISA within the same funds as stated in Section 7.

Please invest no more than £  in total.

You can only choose commission-free share class funds. If you do not indicate the share class, we'll select the commission-free share class fund, where available. Please ensure the funds are available through Cofunds. Please note: if your intermediary has agreed exclusive share classes with a fund manager for your selected funds, we'll always invest in that exclusive share class and not the share class you've selected below.

| Fund name                            | Type of unit/share (tick as appropriate)*                 | % to be invested OR  | Cash amount            |
|--------------------------------------|---|----------------------|------------------------|
| VT Chelsea Managed Aggressive Growth | ACC <input type="checkbox"/>                              | <input type="text"/> | £ <input type="text"/> |
| VT Chelsea Managed Balanced Growth   | ACC <input type="checkbox"/>                              | <input type="text"/> | £ <input type="text"/> |
| VT Chelsea Managed Cautious Growth   | ACC <input type="checkbox"/> INC <input type="checkbox"/> | <input type="text"/> | £ <input type="text"/> |
| VT Chelsea Managed Monthly Income    | ACC <input type="checkbox"/> INC <input type="checkbox"/> | <input type="text"/> | £ <input type="text"/> |
| <input type="text"/>                 | ACC <input type="checkbox"/> INC <input type="checkbox"/> | <input type="text"/> | £ <input type="text"/> |
| <b>CASH FACILITY**</b>               |   | <input type="text"/> | £ <input type="text"/> |
|                                      | Total   | <input type="text"/> | £ <input type="text"/> |

\*ACC/INC - If you do not specify ACC or INC in this column, Cofunds will invest into accumulation units/shares where available.

\*\*Cash Facility - Money may be held for short periods in the Cash Facility. Such holdings are deemed 'destined for investment'. Please note this will be included as part of your ISA allowance. You should bear in mind that any money left over in the Cash Facility beyond a short period of time may result in HMRC requesting that your JISA tax benefits are removed.

## 9 Excess balances (if applicable)

Transferring your Investment Funds holding into a Junior Investment ISA may result in an excess balance. The value of your investment fund holding may change between the time you complete this form and the deal being placed. This is due to daily price movements.

We will automatically reinvest any excess balance from your withdrawal into the highest value commission-free share class fund within your investment holding. If you would like your excess balance deposited into your Cash Account, please specify using the tick box below:

Please pay any excess balances into my Cash Account.  
We will apply this option as a default if no commission-free share class funds are held within your investment holding.

Please note, any excess balance from a third party/joint holder withdrawal will be paid into the third party/joint holder cash account.

**I declare that:**

- I am 16 years of age or over
- I am the child/I have parental responsibility for that child
- I/the child does not have a Child Trust Fund account
- I will be the Registered Contact for the Junior Investment ISA
- The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant
- I have not subscribed and will not subscribe to another Stocks & Shares Junior ISA type for this child
- I am not aware that this child has another Stocks & Shares Junior Investment ISA within this tax year
- I am not aware of other Junior Investment ISA subscriptions that will result in this child exceeding the annual limit
- I will not knowingly make subscriptions to Junior Investment ISAs for this child that will result in the subscription limit being exceeded

**I authorise Cofunds Limited to:**

- to hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash, and
- to make on the child's behalf any claims to relief from tax in respect of Junior Investment ISA.

I agree to the Terms and Conditions of the Cofunds Junior Investment ISA and confirm that to the best of my belief the information of this form is true.

**I confirm that:**

- I have not received investment advice from Chelsea for this transaction.
- I have been provided with the Terms and Conditions of the Cofunds Junior Investment ISA and by signing this application form I agree to be bound by them.
- I have received the relevant product key features and fund specific information and/or Key Investor Information Documents (KIID) relating to my investment. A copy of the KIID can be found via the following link [https://www.cofunds.co.uk/Investors/Reports\\_and\\_Accounts\\_Investors.aspx](https://www.cofunds.co.uk/Investors/Reports_and_Accounts_Investors.aspx)
- I have not received investment advice from Chelsea for this transaction.
- I understand that the Terms and Conditions of the Cofunds Junior Investment ISA are the standard terms upon which Cofunds intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.
- I understand that my signed application form (once accepted by Cofunds) together with the Terms and Conditions of the Cofunds Junior Investment ISA form my customer agreement with Cofunds Limited.

- I understand that the commencement of my Junior Investment ISA may be delayed or rejected if this application form is not complete in all respects. You may undertake a search with a reference agency for the purposes of verifying my identity. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search. I declare that the information contained in this application form is correct to the best of my knowledge and belief.
- I understand and accept that if the fund(s) selected in this form are not available to my intermediary, Cofunds will automatically select a comparable fund available to my intermediary when processing my application. I understand and accept that I am entitled to cancel my application in accordance with the Terms and Conditions of the Cofunds Junior Investment ISA and Junior ISA Key Features and that if I chose to cancel my application, my investment shall be subject to market movement during the period from the date when my application is processed to the date my investment is sold.

**Data Protection**

Cofunds is a registered Data Controller and a fully owned subsidiary of Legal & General Group Plc.

Cofunds Limited will use your information for the administration and servicing of your investments and other related activities. We may disclose your information to our agents and service providers for these purposes. We may also disclose your information to organisations for compliance with legal and regulatory requirements.

With the exception of the preceding provisions, we will not pass on your information to any other third party without your permission, but we will disclose information concerning your investment to your nominated intermediary.

Cofunds may transfer your information to countries outside of the EEA for the servicing of your investments. In such cases, contracts will be put in place to ensure that the service providers protect your information in accordance with the requirements of the Data Protection Act.

If you require a Fund prospectus, please contact your intermediary or Fund Manager directly.

If you wish to attend/vote at unit holder or shareholder meetings, please tick this box

If you wish to receive reports and accounts, you can download them free of charge at [https://investors.cofunds.co.uk/Investors/Reports\\_and\\_Accounts\\_Investors.aspx](https://investors.cofunds.co.uk/Investors/Reports_and_Accounts_Investors.aspx).

If you wish to receive paper copies of reports and accounts please speak to your intermediary.

You can also request to receive paper copies of reports and accounts by writing to us at Cofunds Limited, PO Box 1103, Chelmsford CM99 2XY.

We will apply a £20 administration charge per fund for each request to attend/vote at unit holder and shareholder meetings, and for each request to receive paper copies.

Signature 

Date

(Registered Contact)

**11 Notes**

All proceeds will be held by Cofunds and will normally be reinvested into your Junior Investment ISA one business day from when Cofunds initiate the transaction.