

CODE: CH.ISAT2019

Should you transfer from a Lifetime ISA to this Aegon Investment ISA (Stocks and Shares), your Lifetime ISA status will be lost along with any other benefits specific to the Lifetime ISA.

**Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London SW6 2JS**

**THIS FORM HAS 3 PAGES.**

Aegon Authorisation Code 

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**1 Personal details (please complete this section in full)**

Existing Client Reference 

<b>3</b>									
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Mr/Mrs/Ms/Miss/Other
Surname
Full first name(s)
Email
Telephone

Male  Female  Date of Birth 

D	D	/	M	M	/	Y	Y	Y	Y

National Insurance Number 

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If you don't have a National Insurance Number, please tick here.

Permanent residential address
Postcode

If at current address for less than two years, please supply previous address
Postcode

If more than one previous address in the last two years, please provide full details including the time at each address on a separate sheet of paper and staple securely to this application form.

Please read the following sentence and confirm by ticking the box if applicable. I confirm that I am solely UK resident for tax purposes and not a US citizen.   
If you can't confirm and tick the box, please complete the Individual FATCA Self-Certification form that can be issued to you by Chelsea.

**2 Nominated bank account**

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to.

Name of account holder

Bank/Building Society Account Number 



 Branch Sort Code 



 - 



 -

Building Society Roll Number

**3 Income**

The option you choose will be applied to all income units/shares you hold within this product.

- Retain in fund (default)**  
reinvest any income back into the fund
- Consolidated monthly income**  
pay any income to my bank account on a monthly basis
- Cash Facility**  
pay any income into my Cash Facility

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

**4 Service charge (for intermediary use only)**

Service charge model name: STANDARD SEGMENT

Annual service charge\*: 0.4%

\*This is an annual charge taken on a monthly basis



## 5 Transfer investment choices

To be completed if filling in sections 2 or 4 on the "Aegon ISA Transfer Authority".

For further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document.

Fund name	Type of unit/share (delete as appropriate) <sup>1</sup>	Transfer %
<b>VT Chelsea Managed Cautious Growth</b>	ACC/INC	
<b>VT Chelsea Managed Balanced Growth</b>	ACC	
<b>VT Chelsea Managed Aggressive Growth</b>	ACC	
<b>VT Chelsea Managed Monthly Income</b> (please complete income section 3 on previous page)	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
Cash (if required)		
		<b>Total 100%</b>

<sup>1</sup> **ACC/INC** – If you do not specify ACC or INC in this column, and/or have not completed Section 3 of the Transfer Application form, Aegon will invest into accumulation units/shares where available.

## 6 Declaration and agreement

**I have been made aware of the terms of these declarations and agree to them.**

### General Declaration

I confirm that I have had the opportunity to read the Aegon Platform terms & conditions carefully, along with the key features document, my personal illustration, the pre-sale disclosure on costs and charges, key investor information document(s) and the declarations in this application, before completing this application process.

I confirm that I am habitually resident in the United Kingdom.

I accept that Aegon has not and will not assess my suitability for making a further payment to my Aegon ISA or my Aegon GIA, as applicable, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

### I declare that:

I apply to make a further payment to my Aegon ISA or my Aegon GIA as applicable.

I am 18 years of age or over.

I agree to the Aegon ISA or Aegon GIA terms and conditions, as applicable.

The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief and appointed intermediary, who are aware that it is a serious offence to knowingly provide false or misleading information on the application.

I confirm that I have not received face to face advice from Chelsea in connection with this application and I have received and had the opportunity to read the key features document, illustration, key investor information document(s) and terms and conditions that are relevant to this application.

Where regulations allow, I nominate Chelsea to receive correspondence in relation to my investments on my behalf. This instruction will remain in force unless Chelsea has informed Aegon that they wish for this correspondence to be sent to me directly, or I no longer have an appointed intermediary to whom Aegon can send these.

The further payment into my Aegon ISA or Aegon GIA, as applicable, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me or Chelsea.

### I declare that in respect of the Aegon ISA:

I am applying to subscribe for a stocks and shares ISA for the tax year 2019/2020, and each successive year until further notice.

All subscriptions made, and to be made, to the Aegon ISA belong to me.

Except where allowed by legislation, I have not subscribed/made payments, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.

Except where allowed by legislation, I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crowd employees serving overseas), am treated as being performed in the United Kingdom, or are married to, or in civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties or be married to, or in civil partnership with, a person who performs such duties.

### I declare that in respect of the Aegon GIA:

I have or will provide details through self-certification of all countries in which I am resident for tax purposes. If I do not provide these details, I am reportable to HM Revenue & Customs (HMRC) as undocumented.

Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share this information with tax authorities in the relevant countries and territories.

### I authorise Aegon to:

Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Make on my behalf any claims to relief from tax in respect of any of my ISA investments.

Arrange any transfer of an existing ISA or GIA held with a different provider to my Aegon ISA, or Aegon GIA (as applicable), as and when I request that Aegon do so.

Obtain details from my existing ISA Manager(s) or existing GIA provider(s) (as applicable) and authorise the giving of any such details to Aegon.

Accept investment and disinvestment instructions from Chelsea and to accept instructions from Chelsea with regard to all aspects of the running of the Aegon ISA or Aegon GIA, as applicable.

Make any payments specified by me to Chelsea on my behalf from the Aegon ISA or Aegon GIA, as applicable. I agree that these payments reflect the terms of the agreement I have entered into with Chelsea. The contract note will confirm the actual amount of the payment to be deducted and paid to Chelsea. If I disagree with the payment then I must advise Chelsea of this.

Signature 	Date
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**This form will be returned if it's not signed and dated.**

**In this form, Aegon means Cofunds Limited.**

**Use this form to transfer an ISA from another ISA account manager into an Aegon ISA.**

**If you transfer from a Lifetime ISA (LISA) to an Aegon ISA, the LISA status for the transferred money will be lost along with any other benefits specific to the Lifetime ISA. As the Aegon ISA isn't a LISA, there may be a government charge applied to the amount transferred.**

**If you're transferring from multiple investment/plan managers, you'll need to send a separate transfer authority for each transfer.**

**All investment details entered in this form must match those held by the existing investment/plan manager. Any differences could cause delays to the requested transfer.**

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## 1 Funds that you wish to keep via re-registration (stock transfer)

Please list all funds held with the investment/plan manager named on this form that you wish to retain when you transfer your investment to Cofunds.

Please note that the funds you re-register will be moved into the clean share class.

Fund name and share class	Account or plan numbers (this must be completed)	Type of unit/share (delete as appropriate)*
		ACC/INC
		ACC/INC
		ACC/INC
		ACC/INC

## 2 Investments that you want to sell (stocks and shares ISA cash transfer)

Please list all investments held with the investment/plan manager named on this form that you want to sell into cash and transfer to Aegon or tick the sell all box below.

Account reference with the existing investment/plan manager  Sell all my investments


## 3 Tax year subscriptions to be transferred

**Transferring previous tax-year ISA savings** You can transfer some or all of your existing ISA savings from previous tax years to the Aegon ISA without affecting your current ISA allowance. You can transfer as many ISAs as you want to Aegon.

**Transferring current tax-year ISA savings** Where you're transferring current year's subscriptions you must transfer 100% of those subscriptions for any type of ISA.

Which tax years' subscriptions do you want to transfer? Current  Previous  All

If you have ticked current or all, please state your current year subscriptions to date £

## 4 Cash ISA transfer

All my cash ISA  or this amount of my cash ISA £  Please tell us the notice period (if any), for you to transfer your cash ISA  days

Branch sort code    Account or plan number (this must be completed)

## 5 Authorisation

Aegon ISA number (if applicable)

I authorise the ISA account manager named on this authority to transfer my investments in cash when requested to Lochside Nominees Ltd with immediate effect or where I so instruct at the end of a notice period, and forward the proceeds as specified below to my new Aegon ISA account manager at: Aegon Cofunds Administration, PO Box 17491, Edinburgh, EH12 1PB, and provide Aegon with any information reasonably required by them to allow them to accept this transfer.

Name of existing investment/plan manager

Name of investment/plan manager address   
  
  
 Postcode

Your full name

Signature  X Date

