

GENERAL INVESTMENT ACCOUNT APPLICATION FORM

Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London, SW6 2JS.

1 PERSONAL DETAILS

Aegon account number (if applicable):	<input type="text"/>	Address:	<input type="text"/>
Title: <input checked="" type="checkbox"/> Mr <input checked="" type="checkbox"/> Mrs <input checked="" type="checkbox"/> Ms <input checked="" type="checkbox"/> Miss	<input type="text"/> Other:		<input type="text"/>
Surname:	<input type="text"/>		<input type="text"/>
First name:	<input type="text"/>	Postcode:	<input type="text"/>
Date of birth:	<input type="text"/> / <input type="text"/> / <input type="text"/>	Email address:	<input type="text"/>
Male <input checked="" type="checkbox"/> Female <input checked="" type="checkbox"/>		Telephone No:	<input type="text"/>
National Insurance No:	<input type="text"/>	I can confirm that I am a solely UK resident for tax purposes and not a US citizen	<input checked="" type="checkbox"/>

1a JOINT HOLDER DETAILS (IF APPLICABLE)

All correspondence will be sent to the primary holder.

Second holder

Aegon account number (if applicable):	<input type="text"/>	Address:	<input type="text"/>
Title: <input checked="" type="checkbox"/> Mr <input checked="" type="checkbox"/> Mrs <input checked="" type="checkbox"/> Ms <input checked="" type="checkbox"/> Miss	<input type="text"/> Other:		<input type="text"/>
Surname:	<input type="text"/>		<input type="text"/>
First name:	<input type="text"/>	Postcode:	<input type="text"/>
Date of birth:	<input type="text"/> / <input type="text"/> / <input type="text"/>	Email address:	<input type="text"/>
Male <input checked="" type="checkbox"/> Female <input checked="" type="checkbox"/>		Telephone No:	<input type="text"/>
National Insurance No:	<input type="text"/>	I can confirm that I am a solely UK resident for tax purposes and not a US citizen	<input checked="" type="checkbox"/>

2 DESIGNATION (YOU CAN DESIGNATE AN ACCOUNT HERE USING A MAXIMUM OF EIGHT ALPHANUMERIC CHARACTERS)

If you wish to specify a unique designation for this account. Only the named applicants of this investment will be recognised as beneficial owners.

3 FUNDING YOUR INVESTMENT (TICK ALL THAT APPLY)

- Cheque(s) Amount £
- Monthly Direct Debit (please ensure that you also complete a Direct Debit instruction)

Cheque payment

Cheques must be made payable to **Aegon Ltd.**

4 INCOME

Please complete this section, if you are selecting income units in section 6. Please note that income will be set to reinvest, if this section is not completed. The option you choose will be applied to all income units/ shares you hold within this product.

- Retain in fund (default) - reinvest any income back into the fund
- Consolidated monthly income - pay any income to my bank account on a monthly basis - see section 7
- Cash Facility - pay any income into my Cash Facility.

5 SERVICE CHARGE to be completed by intermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge*: 0.40%

*This is an annual charge, taken on a monthly basis.

Please ensure that you have read the Key Investor Information Documents and pre-sale illustration for the fund(s) that you choose to invest into. The minimum investment amount is £1 per fund.

Fund name(s)	Acc/Inc*	Lump sum	New monthly saving
VT Chelsea Managed Cautious Growth	Acc / Inc	£	£
VT Chelsea Managed Balanced Growth	Acc	£	£
VT Chelsea Managed Aggressive Growth	Acc	£	£
VT Chelsea Managed Monthly Income	Acc / Inc	£	£
	Acc / Inc	£	£
Cash		£	£
TOTAL AMOUNT		£	£

*Acc/Inc - if you do not specify Acc or Inc, and do not complete section 4, we will apply accumulation units, where available.

Please complete this section, if you currently have income paid out. We will use the details below to pay any future income/withdrawals to. Please note that income units will reinvest, if this section is left blank.

Name of account holder:

Account No: Sort code: - -

Building society roll No:

Aegon relies on the information contained in the following documents as they form the basis of the contract being applied for:

- the application;
- these declarations and any other declarations made when applying for the Aegon General Investment Account (GIA);
- the first contract note, and
- the Aegon Platform terms and conditions.

I confirm that I have had the opportunity to read these documents carefully (other than the first contract note which will be given to me in accordance with the Aegon Platform terms & conditions), along with the key features document, my personal illustration, key investor information documents and the declarations in this application, before completing this application process.

I confirm that I have had the opportunity to read our Order execution policy and I agree to its terms.

I confirm that I am habitually resident in the United Kingdom.

I accept that Aegon has not and will not assess my suitability for the Aegon GIA or any investment decisions I make. This means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

I declare that:

- I apply for the Aegon GIA and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions.
- I am over 18 years of age.
- The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief, I am aware that it is a serious offence to knowingly provide false or misleading information on the application.
- And confirm that, if I have not received face to face advice from an appointed adviser in connection with this application, I have received and had the opportunity to read the key features document, illustration, key investor information document(s) and terms and conditions that are relevant to this application.
- Where regulations allow, I nominate Chelsea Financial Services to receive correspondence in relation to my investments. This instruction will remain in force unless Chelsea Financial Services has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed adviser to whom Aegon can send these.
- Any payment into the Aegon GIA, including contributions and transfers, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me or their appointed adviser.
- Where I am applying for an Aegon GIA through self-certification, I will provide details of all countries in which I am resident for tax purposes.

If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.


- Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share this information with tax authorities in the relevant countries and territories.
- Where I have requested Aegon to pay regular withdrawals from my Aegon GIA, if the sum total of the amount in the product's cash facility and the amount realised by this instruction does not meet the amount of the regular withdrawal request, I am aware that Aegon will sell the largest value investment to cover any shortfall.
- I confirm that I have not received any advice from Chelsea Financial Services and I understand that any investment or transaction I may choose to make is on an execution-only basis and that I am responsible for all investment decisions.


I authorise Aegon to:

- Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- Arrange any transfer of an existing GIA held with a different provider to my Aegon GIA, as and when I request that Aegon do so.
- Obtain details from my existing GIA provider(s) and authorise the giving of any such details to Aegon.
- Accept investment and disinvestment instructions from Chelsea Financial Services and to accept instructions from Chelsea Financial Services with regard to all aspects of the running of the Aegon GIA.
- Make any payments specified by me (including any adviser or service charge set out in section 5) to Chelsea Financial Services on my behalf from my Aegon GIA. I agree that these payments reflect the terms of the agreement I have entered into with Chelsea Financial Services. The contract note will confirm the actual amount of the payment that is deducted and paid to Chelsea Financial Services. If I disagree with the payment then I must advise Chelsea Financial Services of this.

Data Protection

- I understand that Chelsea Financial Services will use the personal data I have provided in this application, including my name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and Chelsea's Privacy Policy as detailed at <https://www.chelseafs.co.uk/notice/privacy/>
- I understand that my personal information will be used to provide products and services, manage my relationship with Chelsea and comply with any laws or regulations they are subject to (for example the laws that prevent financial crime or the regulatory requirements governing the products we offer) and for other purposes including improving Chelsea's services, exercising rights in relation to agreements and contracts and identifying products and services that may be of interest to me.

First holder signature: **Sign here**  Date: / /

Second holder signature: **Sign here**  Date: / /

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Instruction to your bank or building society to pay by Direct Debit

You should complete this form in BLOCK CAPITALS and ballpoint pen.

Name(s) of account holder(s)

Bank/Building society account number

Branch sort code

 - -

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
<input type="text"/>	

Address

Postcode

Service user number

1	6	9	7	7	1
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Reference

Product number

8									
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You should sign and date this form by typing your full name in the signature box below and typing the date in the date box. Your typed name in the signature box will be your signature. When you sign the form, by typing your name in this box, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Instruction to your bank or building society

Please pay Aegon Cofunds Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand this instruction may remain with Aegon Cofunds and, if so, details will be passed electronically to my bank/building society.

Signature(s)

X	X
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Date

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Banks and building societies may not accept Direct Debit instructions for some types of account.

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Aegon Cofunds will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Aegon Cofunds to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Aegon Cofunds or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Aegon Cofunds asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.3965289, registered office: Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority. Their Financial Services Register numbers are 165548 and 194734 respectively. © 2021 Aegon UK plc