

Authorisation Code

7 7

The ISA allowance for all investors is £20,000 for the 2023/2024 tax year.

This form is to be used for Self-directed Explicit Pricing clients only. This application form is used to subscribe to a Stocks and Shares ISA with Aegon. By completing this application, you agree to subscribing to a 2023/2024 tax year stocks and Shares ISA and each subsequent year until further notice. You are not obliged to invest in subsequent tax years unless you choose to do so.

Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London SW6 2JS

1 Personal details (please complete this section in full)

Existing Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Email

Telephone

Male Female Date of Birth / /

National Insurance Number / / /

If you don't have a National Insurance Number, please tick here.

Current permanent residential address

Postcode

Time at this address yrs mths

Please read the following sentence and confirm by ticking the box if applicable.

I confirm that I am solely UK resident for tax purposes and not a US citizen.

If you can't confirm and tick the box, please complete the Individual FATCA Self-Certification form that can be issued to you by Chelsea.

1A. THIRD PARTY OR JOINT HOLDER WITHDRAWAL DETAILS (PLEASE COMPLETE IF RELEVANT)

Please complete this section if the person funding all or part of this investment is not the ISA applicant listed in Section 1.

Existing Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Current permanent residential address

Postcode

2 Nominated bank account

Complete this section if you have not provided us with your nominated bank account details. Please note: you will need to verify this bank account before it can be used in conjunction with your Aegon account. If you are paying by cheque then no action is required. For all other funding options you can send a void signed cheque or three months bank statements with this application. If you are an existing customer, only complete this section if you would like to change your nominated bank account details. Any change to your nominated bank account will not be applied to your regular monthly investments.

You can only have one nominated bank account at any given time.

Name of account holder

Bank or Building Society name and address

Postcode

Branch Sort Code - -

Bank/Building Society Account Number

Building Society Roll Number

3 Withdrawal details (Investment Funds)

Please indicate the choice of funds you wish to sell.

If you do not indicate how your investment(s) should be withdrawn, we will automatically withdraw proportionately across your investment funds. This will maintain your current fund percentage.

Please enter the cash amount to be withdrawn from your investment fund holding: £

Fund(s) to be sold (Fund name)	Continue regular mandate (Y/N)	% of cash amount above to be taken	OR	Number of units	OR	Cash amount
<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>		£ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>		£ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>		£ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>		£ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>		£ <input type="text"/>

Please note: we cannot guarantee the exact amount that your deal will realise, which may result in over/under payment in respect of the withdrawal amount you require. Please refer to Section 7 for more details.

4 Investment selection

I wish to invest in the funds indicated (for further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document).

Please note your total ISA subscription for each tax year must not exceed your ISA allowance. Please ensure the funds are available through Aegon.

Please tick this box if you would like to invest proportionally into the Investment ISA within the same funds as stated in Section 3.

Please invest no more than £ in total.

Fund name	Type of unit/share (tick as appropriate)*	% to be invested	OR	Cash amount
VT Chelsea Managed Aggressive Growth	ACC <input type="checkbox"/>	<input type="text"/>		£ <input type="text"/>
VT Chelsea Managed Balanced Growth	ACC <input type="checkbox"/>	<input type="text"/>		£ <input type="text"/>
VT Chelsea Managed Cautious Growth	ACC <input type="checkbox"/> INC <input type="checkbox"/>	<input type="text"/>		£ <input type="text"/>
VT Chelsea Managed Monthly Income (please complete section 5)	ACC <input type="checkbox"/> INC <input type="checkbox"/>	<input type="text"/>		£ <input type="text"/>
<input type="text"/>	ACC <input type="checkbox"/> INC <input type="checkbox"/>	<input type="text"/>		£ <input type="text"/>
<input type="text"/>	ACC <input type="checkbox"/> INC <input type="checkbox"/>	<input type="text"/>		£ <input type="text"/>
	Total	<input type="text"/>		£ <input type="text"/>

*ACC/INC If you do not specify ACC or INC in this column, and have not completed Section 5, Aegon will invest into accumulation units/shares where available.

5 Income (Please tick one of the following options)

The option you choose will be applied to all income units/shares you hold within this product.

Retain in fund (default) – reinvest any income back into the fund

Consolidated monthly income – pay any income to my bank account on a monthly basis

Cash Facility – pay any income into my Cash Facility

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

5A Product income

Please indicate which of your products you wish your choice in Section 5 to apply to by ticking the relevant box. If you don't choose here, we'll apply the chosen income option to all products held as outlined in Section 5.

Investment Funds Investment ISA Both

6 Withdrawal Authorisation (All holders mentioned in Section 1A MUST complete this section)

I authorise Aegon to withdraw on my behalf and reinvest into a current year ISA as indicated below. **(If you are a single holder (primary only) and there are no joint holders for this investment, then you do not need to complete this section.)** Signing the declaration in Section 9 will constitute your authorisation to withdraw.

Surname	<input type="text"/>
Full first name(s)	<input type="text"/>
Primary named holder signature <input checked="" type="checkbox"/>	Date <input type="text"/>

Surname	<input type="text"/>
Full first name(s)	<input type="text"/>
Second named holder signature <input checked="" type="checkbox"/>	Date <input type="text"/>

7 Excess Balances (if applicable)

Transferring your investment funds holdings into an ISA may result in an excess balance. The value of your investment fund holding may change between the time you complete this form and the deal being placed. This is due to daily price movements.

We will automatically reinvest any excess balance from your withdrawal into the highest value commission-free fund within your investment holding.

If you would like your excess balance deposited into your Cash Facility, please specify using the tick box below:

Please pay any excess balances into my Cash Facility. We will apply this option as a default if no commission-free funds are held within your investment holding.

Please note, any excess balance from a third party/joint holder withdrawal will be paid into the third party/joint holder cash account.

8 Service Charge (To be completed by the intermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge*: 0.40%

*This is an annual charge taken on a monthly basis.

9 Declaration and authorisation (as per client mentioned in section 1)

I declare that:

In this declaration 'Aegon' refers to Cofunds Limited, and 'I', 'you', 'your' or 'my' refers to you, the Aegon ISA investor named in section 1.1.

General declaration

8.1 I acknowledge that Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon ISA and where relevant, an Aegon GIA.

- the application;
- these declarations and any other declarations made when applying for an, or subscribing to an existing, Aegon ISA and where relevant, an Aegon GIA;
- the contract note for the Aegon ISA, and where relevant, the first contract note for the Aegon GIA, and
- the Aegon Platform terms and conditions.

8.2 I confirm that I have had the opportunity to read these documents carefully (other than the contract note(s) which will be given to me in accordance with the Aegon Platform terms and conditions), along with the key features document(s), my personal illustration, key investor information documents and the declarations in this application.

8.3 I confirm that I am habitually resident in the United Kingdom.

8.4 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

8.5 I accept that Aegon has not and will not assess my suitability for opening an, or subscribing to an existing, Aegon ISA or any investment decisions I make. Except where I have received advice from an intermediary, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon ISA, I should speak to an intermediary where I have not already done so.

I declare that:

8.6 Where I do not have an Aegon ISA capable of accepting the subscription equivalent to the amount detailed in section 3:

i. I apply to subscribe for a stocks and shares ISA for the tax year 2023/2024 and each successive year until further notice.

ii. I apply for an Aegon ISA, and where relevant an Aegon GIA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions. 8.7 Where I do have an Aegon ISA capable of accepting the subscription equivalent to the amount detailed in section 3, I apply to make this additional subscription to my existing Aegon ISA as detailed in 4.

8.8 All subscriptions made, and to be made, to the Aegon ISA belong to me.

8.9 I am 18 years of age or over.

8.10 Except where allowed by legislation, I have not subscribed/made payments to, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.

8.11 Except where allowed by legislation, I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

8.12 I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or perform such duties or be married to, or in civil partnership with, a person who performs such duties.

8.13 I agree to the Aegon ISA, and where relevant the Aegon GIA, terms and conditions.

8.14 The information supplied in the application, and supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.

8.15 Any payment into my Aegon ISA, or where relevant my Aegon GIA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my intermediary where I have appointed one in relation to my Aegon ISA, or where relevant my Aegon GIA.

8.16 Where I have selected consolidated natural income in section 5 and are currently taking regular withdrawals from my existing Aegon ISA, I instruct Aegon to cancel the regular withdrawals.

8.17 Where I am applying for an Aegon GIA, through self-certification I have or will provide details of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.

8.18 Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share that information with tax authorities in the relevant countries and territories.

I authorise Aegon to:

8.19 Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

8.20 Make on my behalf any claims to relief from tax in respect of any of my ISA investments.

8.21 Accept investment and disinvestment instructions from my intermediary where I have appointed one in relation to my Aegon ISA, or where relevant the Aegon GIA.

8.22 Disclose details of my Aegon ISA, or where relevant my Aegon GIA, to my appointed intermediary, and to accept instructions from my appointed intermediary with regard to all aspects of the running of the Aegon ISA, and where relevant the Aegon GIA.

8.23 Pay any fees or charge specified by me to my appointed intermediary on my behalf from my Aegon ISA, or where relevant my Aegon GIA.

I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with my appointed intermediary.

The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed intermediary. If I disagree with the fee or charge then I must advise my appointed intermediary of this.

Signature 

Date

This form will be returned if it's not signed and dated.

10 Notes