

GENERAL INVESTMENT ACCOUNT TRANSFER FORM

Please complete this application form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London, SW6 2JS.

1 PERSONAL DETAILS

| | | | |
|---|--|--|-------------------------------------|
| Aegon account number (if applicable): | <input type="text"/> | Address: | <input type="text"/> |
| Title: <input checked="" type="checkbox"/> Mr <input checked="" type="checkbox"/> Mrs <input checked="" type="checkbox"/> Ms <input checked="" type="checkbox"/> Miss | <input type="text"/> Other: | <input type="text"/> | <input type="text"/> |
| Surname: | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| First name: | <input type="text"/> | Postcode: | <input type="text"/> |
| Date of birth: | <input type="text"/> / <input type="text"/> / <input type="text"/> | Email address: | <input type="text"/> |
| Male <input checked="" type="checkbox"/> Female <input checked="" type="checkbox"/> | | Telephone No: | <input type="text"/> |
| National Insurance No: | <input type="text"/> | I can confirm that I am a solely UK resident for tax purposes and not a US citizen | <input checked="" type="checkbox"/> |

1a JOINT HOLDER DETAILS (IF APPLICABLE)

Please include the full name and address of each holder. All correspondence will be sent to the primary holder.

Secondary holder (if applicable)

| | | | |
|---|--|----------------------|----------------------|
| Aegon account number (if applicable): | <input type="text"/> | Address: | <input type="text"/> |
| Title: <input checked="" type="checkbox"/> Mr <input checked="" type="checkbox"/> Mrs <input checked="" type="checkbox"/> Ms <input checked="" type="checkbox"/> Miss | <input type="text"/> Other: | <input type="text"/> | <input type="text"/> |
| Surname: | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| First name: | <input type="text"/> | Postcode: | <input type="text"/> |
| Date of birth: | <input type="text"/> / <input type="text"/> / <input type="text"/> | Email address: | <input type="text"/> |
| | | Telephone No: | <input type="text"/> |

2 NOMINATED BANK ACCOUNT

Please complete this section, if you currently have income paid out. We will use the details below to pay any future income/withdrawals to. Please note that income units will reinvest, if this section is left blank.

| | |
|---------------------------|--|
| Name of account holder: | <input type="text"/> |
| Account No: | <input type="text"/> Sort code: <input type="text"/> - <input type="text"/> - <input type="text"/> |
| Building society roll No: | <input type="text"/> |

3 INCOME

Please complete this section if you are transferring income units. Please note that income will be set to reinvest, if this section is not completed. The option you choose will be applied to all income units/shares you hold within this product.

- Retain in fund (default) - reinvest any income back into the fund
- Consolidated monthly income - pay any income to my bank account on a monthly basis - see section 6
- Cash Facility - pay any income into my Cash Facility.

4 SERVICE CHARGE to be completed by intermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge*: 0.40%

*This is an annual charge, taken on a monthly basis.

I confirm that:

I have not received investment advice from Chelsea for this transaction.

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan.

As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times. Our suppliers must only act on our instructions and can't use your personal information for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy.

For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

General declaration

Aegon relies on the information contained in the following documents as they form the basis of opening a new Aegon GIA:

- the application;
- these declarations and any other declarations made when applying for an Aegon GIA;
- the first contract note, and
- the Aegon Platform terms and conditions.

I confirm that I have had the opportunity to read these documents carefully (other than the first contract note which will be given to me in accordance with the Aegon Platform terms and conditions), along with the key features document, my personal illustration, Key Investor Information Documents and the declarations in this application.

I confirm that I am habitually resident in the United Kingdom.

I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

I accept that Aegon has not and will not assess my suitability for opening an Aegon GIA or investment decisions I make. This means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability for the Aegon GIA, I should speak to a financial adviser.

I declare that:

- Where I do not have an existing Aegon GIA, I apply for an Aegon GIA and services outlined in the application, and agree to be bound by the Aegon Platform terms and conditions. I agree to the re-registration of investments to be applied to this new Aegon GIA.
- Where I do have an existing Aegon GIA, I agree to the re-registration of investments to be applied to my existing Aegon GIA listed in section 1 of this form.
- I am 18 years of age or over.
- I agree to the Aegon GIA terms and conditions.
- The information supplied in this application, and any supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.

- I confirm that I have not received any advice from Chelsea Financial Services and I understand that any investment or transaction I may choose to make is on an execution-only basis and that I am responsible for all investment decisions.
- Any payment into my Aegon GIA, including contributions and transfers, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my intermediary where I have appointed one in relation to my Aegon GIA.
- Where I have selected consolidated natural income in section 6 and are currently taking regular withdrawals from my existing Aegon GIA, I instruct Aegon to cancel the regular withdrawals.
- I have or will provide details through self-certification of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.
- Where required under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share that information with tax authorities in the relevant countries and territories.
- This application has been completed to the best of my knowledge and belief.
- Where I have requested Aegon to pay regular withdrawals from my Aegon GIA, if the sum total of the amount in the product's cash facility and the amount realised by this instruction does not meet the amount of the regular withdrawal request, I am aware that Aegon will sell the largest value investment to cover any shortfall.

I authorise Aegon to:

- Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- Arrange any transfer of an existing GIA held with a different provider to my Aegon GIA as and when I request Aegon to do so.
- Obtain details from my existing GIA provider(s) and authorise the giving of any such details to Aegon.
- Accept investment and disinvestment instructions from my Chelsea Financial Services where I have appointed one in relation to my Aegon GIA.
- Disclose details of my Aegon GIA to Chelsea Financial Services, and accept instructions from Chelsea Financial Services with regard to all aspects of the running of the Aegon GIA.
- Pay any fees or charges specified by me (including any ongoing adviser charge or service charge set out in section 4) to my appointed adviser on my behalf from my Aegon GIA. I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with Chelsea Financial Services. The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed intermediary. If I disagree with the fee or charge then I must advise Chelsea Financial Services of this.

Data Protection

I understand that Chelsea Financial Services will use the personal data I have provided in this application, including my name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and Chelsea's Privacy Policy as detailed at <https://www.chelseafs.co.uk/notice/privacy/>.

I understand that my personal information will be used to provide products and services, manage my relationship with Chelsea and comply with any laws or regulations they are subject to (for example the laws that prevent financial crime or the regulatory requirements governing the products we offer) and for other purposes including improving Chelsea's services, exercising rights in relation to agreements and contracts and identifying products and services that may be of interest to me.

Nominee details: Cofunds Nominee Ltd, Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB

| | | |
|--------------------------|-----------------------------|------|
| Primary holder signature | Sign here ▶ | |
| Capacity (if applicable) | | Date |
| Second holder signature | Sign here ▶ | |
| Capacity (if applicable) | | Date |

Issued and approved by Aegon Limited, Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Registered in England and Wales No. 3965289. Authorised and regulated by the Financial Conduct Authority (FCA) under FCA Registration No. 194734.

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GENERAL INVESTMENT ACCOUNT TRANSFER FORM

TO BE COMPLETED ALONGSIDE THE GENERAL INVESTMENT ACCOUNT TRANSFER FORM

1 DETAILS OF YOUR CURRENT PROVIDER

| | | | |
|--|--|---------------------------------------|--|
| Primary holder name: | <input type="text"/> | Name of current provider: | <input type="text"/> |
| Address of primary holder: | <input type="text"/> <input type="text"/> <input type="text"/> | Current provider's address: | <input type="text"/> <input type="text"/> <input type="text"/> |
| Postcode: | <input type="text"/> | Current provider's postcode: | <input type="text"/> |
| Second holder name: (if applicable) | <input type="text"/> | Account number with current provider: | <input type="text"/> |

| | | | |
|---|---|-------|--|
| Primary holder signature: | <input type="text" value="Sign here >"/> | Date: | <input type="text" value="D D"/> / <input type="text" value="M M"/> / <input type="text" value="Y Y Y Y"/> |
| Capacity (if applicable) | <input type="text"/> | | |
| Second holder signature: (if applicable) | <input type="text" value="Sign here >"/> | Date: | <input type="text" value="D D"/> / <input type="text" value="M M"/> / <input type="text" value="Y Y Y Y"/> |
| Capacity (if applicable) | <input type="text"/> | | |

2 DESIGNATION (YOU CAN DESIGNATE AN ACCOUNT HERE USING A MAXIMUM OF EIGHT ALPHANUMERIC CHARACTERS)

Please provide any current designations.
Please note that a separate authority form will be required for each designated holding.

| | | | | | | | |
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3 FUNDS THAT YOU WISH TO KEEP VIA RE-REGISTRATION

Please list all funds held with the investment/plan manager named on this form that you wish to retain when you transfer your investments. Please note that the funds you transfer in-specie will be moved into clean share funds, if applicable.

| Fund name | Share class | Acc/Inc? |
|----------------------|----------------------|----------------------|
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